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Assistant General Counsel, Corporate Law Group

Hartford, Connecticut Area · 206 connections · [Contact info](#)[Message](#)Saint Louis University
of Law

About

Experienced, strategic and trusted corporate and regulatory attorney with almost 15 years of demonstrated resu delivering sound and solution-oriented legal advice to clients on federal securities law and state insurance law, corporate governance and general corporate matters. Leader of enterprise initiatives on corporate sustain ...

Experience



The Hartford Financial Services Group, Inc.

13 yrs 1 mo

Assistant General Counsel

Jun 2012 – Present · 8 yrs 4 mos

Hartford, CT

Advise on corporate governance for publicly held financial services company including review of corporate governance guidelines, committee charters and public disclosures. Draft and counsel on corporate and financial disclosures for Securities Exchange Act of '34 reports and proxy statement. Advise on earnings materials including news release Provide counsel to Finance, Investor Relations, Com

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Advised Wealth Management business on state regulated annuity product development, new business operation distribution. Developed policies and procedures to improve corrective action. Partnered with industry groups to



Sep 2005 – Aug 2007 · 2 yrs

Litigation cost management auditing of complex, m

Wisconsin Department of Justice

Sep 1986 – Mar 1994 · 7 yrs 7 mos
Madison, Wisconsin

Represented the Wisconsin Department of Natural Resources ("DNR") in state action cases, including *State v. Rollfink*, 162 Wis. 2d 121, 475 N.W.2d 100 (1991), where the court held that the DNR's oversight responsibility personally liable for violations of the Wisconsin

Saint Louis University School of Law

Doctor of Law (J.D.)

1983 – 1986

Activities and Societies: Member, Moot Court Team; Interned St.Louis County Public Defender's Office; National Children's Law Center



Bachelor of Science (B.Sc.), Education

1977 – 1981

Mediation Training Certification, Center on I

Quinnipiac University School of Law

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Skills & Endorsements

Financial Services · 8



Endorsed by 3 of Lisa's colleagues at The Hartford

Risk Management · 8

Endorsed by Howard Strauber, who is highly skilled at this

Litigation · 7

Endorsed by Jonathan Alter, who is highly skilled at this

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